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Docket Number 4-31452A

FILING BY "EXPRESS MAIL" UNDER 37 CFR 1.10

EL 835204315 US  
Express Mail Label Number

May 30, 2001  
Date of Deposit

J1046 U.S. PTO  
09/870203  
05/30/01

Address to: Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

## UTILITY PATENT APPLICATION TRANSMITTAL AND FEE SHEET

Transmitted herewith for filing under 37 CFR §1.53(b) is the utility patent application of

Applicant (or identifier): PAUL L. HALLENBECK  
NEERAJA IDAMAKANTI  
JOHN L. JAKUBCZAK  
MICHAEL KALEKO  
MICHELE LYNETTE ROLLENCE  
THEODORE SMITH  
SUSTAN C. STEVENSON  
DAVID A. STEWART

Title: ADENOVIRUS PARTICLES WITH MUTAGENIZED FIBER  
PROTEINS

Enclosed are:

1.  Specification (Including Claims and Abstract) - 43 pages
2.  Drawings - 17 sheets
3.  Executed Declaration and Power of Attorney (original or copy)
4.  Microfiche Computer Program (appendix)
5. Nucleotide and/or Amino Acid Sequence Submission
  - Computer Readable Copy
  - Paper Copy
  - Statement Verifying Identity of Above Copies
6.  Preliminary Amendment
7.  Assignment Papers (Cover Sheet & Document(s))
8.  English Translation of
9.  Information Disclosure Statement
10.  Certified Copy of Priority Document(s)
11.  Return Receipt Postcard
12.  Other: Unexecuted Declaration and Power of Attorney; Patent Application  
Bibliographic Data Sheet

Filing fee calculation:

- Before calculating the filing fee, please enter the enclosed Preliminary Amendment.  
 Before calculating the filing fee, please cancel claims .

Basic Filing Fee							\$ 710
Multiple Dependent Claim Fee (\$ 270)							\$ 270
Foreign Language Surcharge (\$ 130)							\$
	For	Number Filed		Number Extra		Rate	
Extra Claims	Total Claims	85	-20	65	x \$ 18 =	\$ 1,170	
	Independent Claims	2	-3	0	x \$ 80 =	\$	
TOTAL FILING FEE							\$ 2,150

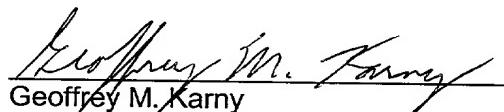
- Please charge Deposit Account No. 19-0134 in the name of Novartis Corporation in the amount of \$2,150. An additional copy of this paper is enclosed. The Commissioner is hereby authorized to charge any additional fees under 37 CFR §1.16 and §1.17 which may be required in connection with this application, or credit any overpayment, to Deposit Account No. 19-0134 in the name of Novartis Corporation.

Please address all correspondence to the address associated with Customer No. 001095, which is currently:

Thomas Hoxie  
 Novartis Corporation  
 Patent and Trademark Dept.  
 564 Morris Avenue  
 Summit, NJ 07901-1027

Please direct all telephone calls to the undersigned at the number given below, and all telefaxes to (301) 258-4620.

Respectfully submitted,

  
 Geoffrey M. Karmy  
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Date: May 30, 2001

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE  
 IN RE APPLICATION OF  
 HALLENBECK ET AL.  
 APPLICATION NO: TO BE ASSIGNED  
 FILED: MAY 30, 2001  
 FOR: ADENOVIRUS PARTICLES WITH MUTAGENIZED FIBER  
 PROTEINS

Commissioner for Patents  
 Washington, D.C. 20231

**SUBMISSION OF SEQUENCE LISTING  
 INCLUDING STATEMENT OF VERIFICATION**

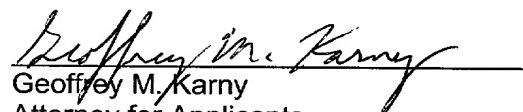
Sir:

Applicants hereby provide a Computer Readable Form of the Sequence Listing as well as the Paper Copy thereof. The undersigned states that the Paper Copy and the Computer Readable Form, submitted in accordance with 37 CFR §1.821(c) and (e), respectively, are the same.

Respectfully submitted,

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Date: May 30, 2001

  
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